

The Kinematics of Inflation, Preheating and Heating: a Playground for Kolmogorov-Sinai and Shannon Entropies

Dick Bond @ IAS18_5

what are the degrees of freedom / parameters of the ultra early Universe? TBD

Quantum Inflation - if quantum energy then quantum gravity (entangled) then gravitons

Phonons *density fluctuations = Trace strain = spatial 3-volume fluctuations*



=> combined **entropy-like measure** ζ = inflaton

$$\zeta(x,t) = \int_{\text{field-path}} (dE + pdV) / 3(E + pV) = \text{Trace } \delta\alpha^i_j + \int_{\text{field-path}} \delta \ln \rho_c / 3(1+w)$$

Gravitons *tensor perturbations transverse traceless strain* $P_{GW} = r P_\zeta$ *grail* $r < .07$ now, to $< .001$

Isocons *when multiple particle-species - orthogonal scalar degrees of freedom to inflaton/phonon*

Dilatons *4-volume fluctuations - Higgs inflation* $L_G(R)$ *gravity - conformally-flatten potentials*

moduli, axions *connection to particle physics models "fundamental scalars" .. string theory*

fermions, vector gauge fields, Higgs *Standard model of particle physics* . vector perturbations

begin-inflate => inflate => end-inflate => preheat => non-equilibrium heat+entropy

=> *Standard Model particle physics* QG plasma radiation dominated

=> dark matter dominated *structure via gravitational instability* => dark energy now

fit into a *UV-complete theory (ultra-high energy to the Planck scale) strings, landscape, ..*

& *IR-complete theory (post-inflation heating -> quark/gluon plasma)???* TBD

TBD inflate => end-inflate => preheat => non-equilibrium heat+entropy via a

|cg <=> fg> condensate/fluctuation framework, cf. quantum cold atom system?

using coherent states (very overcomplete basis, but quantum optics, classical-

like approach with \hbar). includes Bogoliubov transformations for fluctuations

as condensate evolves => particle creation in heating and inflating regime.

ζ all cosmic structure from **entropy!**

linear (*bst1983*) => **nonlinear** $\zeta(\mathbf{x},t) = \int_{\text{field-path}} (dE+pdV) / 3(E+pV) = \alpha_{|H}$
coarse-grained horizon scale cf. fine-grained fluctuations

volume deformation = isotropic strain

$$\ln V / \langle V \rangle |_{\rho} = \ln \det \mathbf{A}^{ij}(\mathbf{x},t) / \langle a \rangle |_{\rho} = \text{Trace } \delta \alpha_c^{ij}(\mathbf{x},t) |_{\rho}$$

$\ln \rho(\mathbf{x},t) / \rho_c(\mathbf{x},t)$ **phonon flucs** $\ln \rho_c(\mathbf{x},t) / \langle \rho \rangle |_V / 3(1+w)$ **condensate**

along coarse-grain trajectories $d\zeta = [d\bar{\text{bar}} \zeta](fg \rightarrow cg)$ $(- d\bar{\text{bar}} \zeta)(cg \rightarrow fg)$

regimes: 1. stochastic inflation non-adiabatic $[d\bar{\text{bar}} \zeta](fg \rightarrow cg)$
gradient flow + stochastic jitter, simple Hamilton principle function $S \sim H(\phi_{cg})$

2. ballistic phase adiabatic thru EoI, but caustics & Kolmogorov-Sinai entropy

3. shock-in-time, $cg \Leftrightarrow fg$, origin of almost all entropy $S_{U,m+r} \sim 10^{88.6}$
cf. $S_G \sim 10^{121.9}$ asymptotic DE

further S generation in early Universe: phase transitions, out-of-equilibrium decays?
further $d\bar{\text{bar}} S$: reionization epoch & beyond via nuclear/accretion, gravitational collapse **CIB**

CMB/LSS observations give access to limited partial operator-paths in field-space aka **kinematics** => limited glimpse of a **UV/IR complete theory** aka **dynamics**. so far just through the **ζ -fluctuation spectrum** encoding the quantum diffusion of (**longitudinal/inflaton**) paths.

Transverse field-motion aka **isocons** may influence $\mathcal{P}_{\zeta\zeta}(\mathbf{k})$ and **ζ -nonG stochastic dynamical systems theory** for kinematics of cg variables

coarse-grained stochastic inflation $k \sim Ha$ resolution/dynamics relation

$d\zeta(x, Ha) = [\mathcal{P}_{\zeta\zeta}(\mathbf{k})]^{1/2} [d \ln Ha]^{1/2} \eta_{\text{GRD}}(x, Ha)$ **quantum fluctuations & no drift**

order parameters from the ultra early U? so far $\zeta \approx \text{GRF}$, $\mathcal{P}_{\zeta\zeta}(\mathbf{k})$ 2 ζ -params

ζ = an adiabatic invariant, conserved even with large field-strains to get nonG need to break adiabaticity => nonlinear fluctuations

Kinematics of Preheating:

BBFH are developing a **trajectory bundle evolution framework** for nonG in **post-inflation preheating -> heating**. field-space path deviations aka strains and their shear can characterize smoothed-bundle “ballistic” chaotic evolutions. arrested by nonlinear fluctuation-mode generation. **metric entropy (KS) in the ballistic phase -> Shannon entropy in the fluctuation-mode phase**. nonlinear multi-field classical coupled kinematics/dynamics using lattice simulations. via **symplectic defrost++ code + spectral code** => high accuracy to unveil small effects

condensate evolves chaotically? => phase-transition-like melting into phonon+ modes,
 fast scramble of fundamental fields, evolution of statistically-simple energy-density phonons
 can one use coherent states to address this quantum mechanically? TBD

$$\zeta_{fi}(\mathbf{x}, t) = \text{Trace}(\alpha_f - \alpha_i) + \int_{i^f} d \ln \rho_c / \rho_i / 3(1+w)$$

cf. $dS_{fi} = dS_{KS_{fi}} - d\text{Tr} \mathcal{E}_{fi} = \delta S_{fi} (fg \rightarrow cg)$ FokkerPlanck for Prob(δS_{fi} | control)

$\zeta_{NL}(\mathbf{x})$ from "isocon" degrees of freedom cf. $\zeta_{NL}(\mathbf{x})$ from inflaton

modulated heating, ballistic chaos, caustics, shock-in-time,

modulators **isocon** $\chi(\mathbf{x})$, **axionic-isocon**(\mathbf{x}) **couplings** $\mathbf{g}(\mathbf{x})$ super-horizon accessible
complex field configs Oscillons, Stringy configurations, curvatons, .. Bubble Collisions

aside on LSS: late-time **CDM-ish web** ~strain web $\zeta_{fi}(\mathbf{x}, t) = \ln \mathbf{p} \det \mathbf{A} / 3$

if cold DM $\mathbf{p}/\rho \sim 0 \Rightarrow \zeta(\mathbf{x}, t | \text{cdm})$ is conserved (mass-energy an adiabatic flow)

before shell crossing (analogue of preheating)

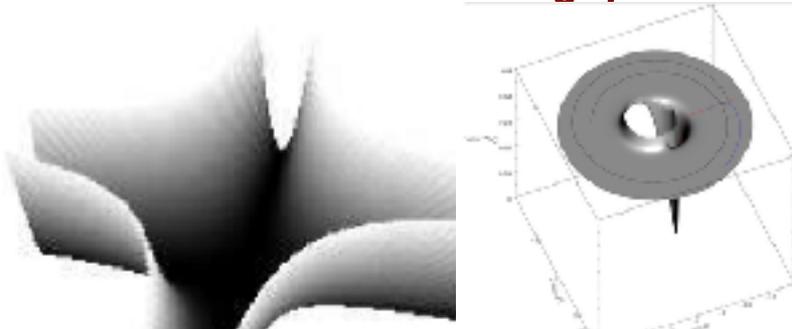
KS entropy in cool 2LPT dynamics

then shock of shell crossing = Shannon entropy development = heat of CDM
 long adiabatic KS phase cf. should we call it chaotic - tho fits the definition?

what is the inflaton+isocons potential?

around a minimum is the HOT /heating question

2 filament?

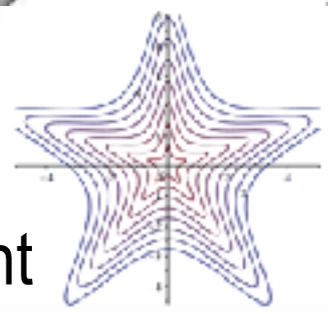


how was *matter & entropy* generated at the end of acceleration = inflation?

Relate to Higgs & standard model?

4 filament

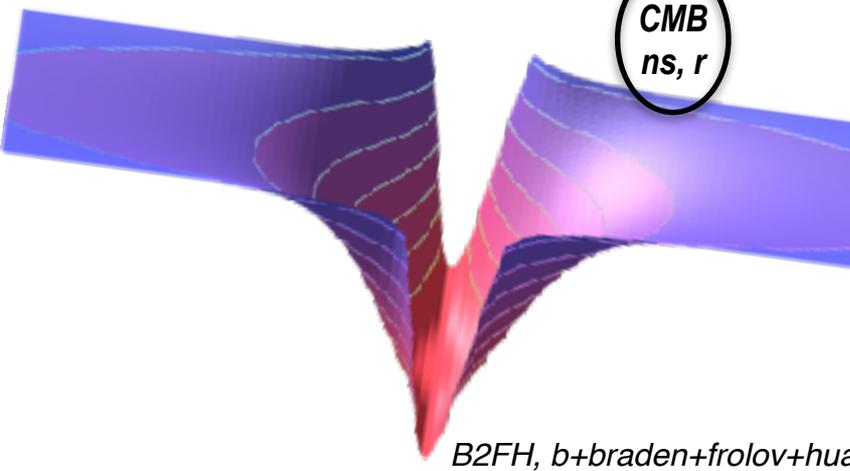
$$1/4\lambda\phi^4 + 1/2g^2\phi^2\chi^2$$



Preheating After Roulette Inflation

3-filament 5-filament

angles pNGB natural inflation, monodromy, ..



CMB
 n_s, r

B2FH, b+braden+frolov+huang

conformal potential-flattening eg Higgs inflation SBB89 etc

$$\langle \tau \rangle =$$

quantum diffusion spatial jitter

drift

$$\ln a(\mathbf{x}, \ln H)$$

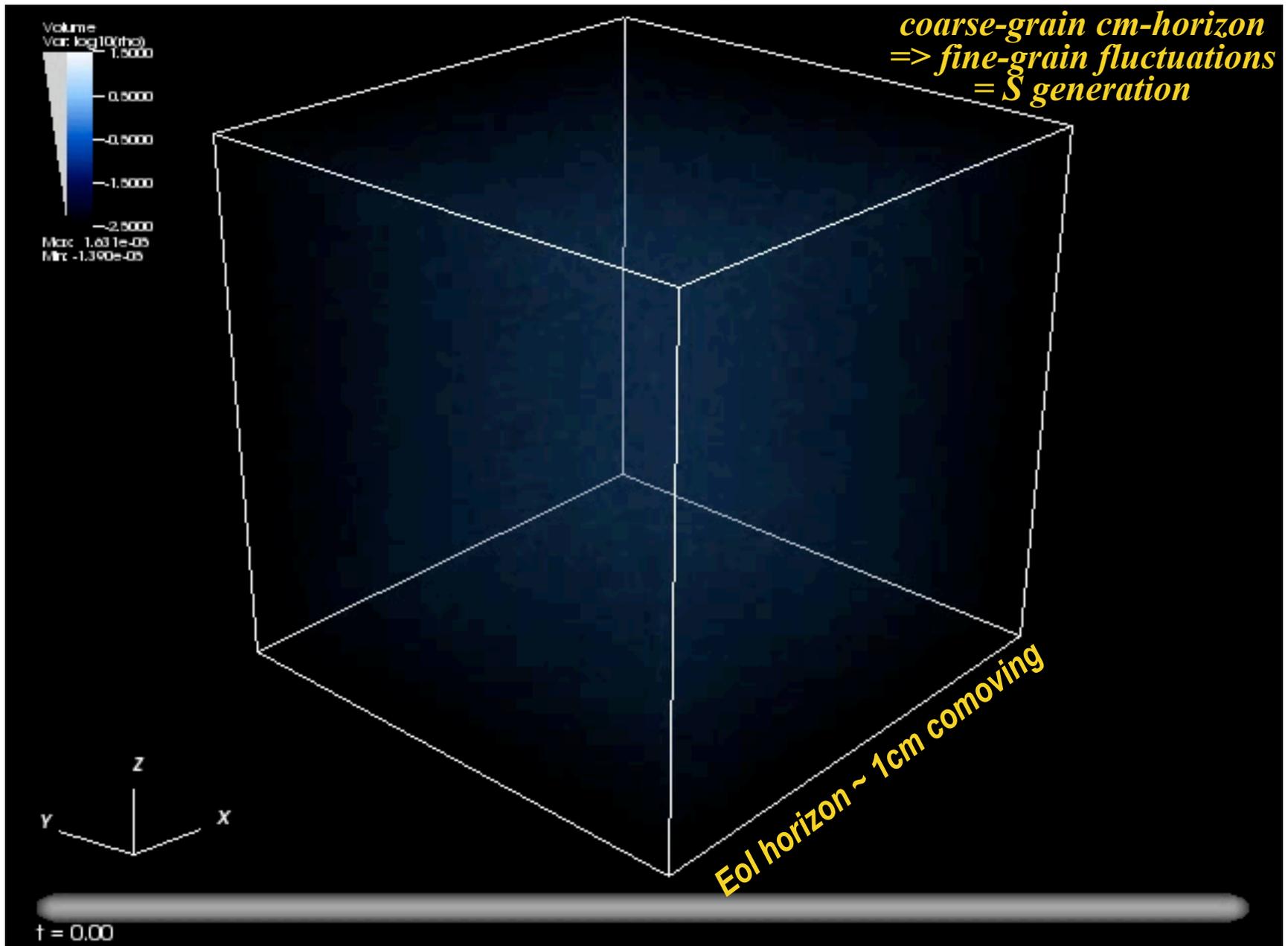
entropy generation in preheating from the coherent inflaton (origin of all matter)

let there be heat

isocon directions, e.g., axion

S EMI-NFLATION

$$\text{quartic inflaton } V(\phi, \chi) = 1/4 \lambda \phi^4 + 1/2 g^2 \phi^2 \chi^2$$



log-normal pdf (density aka ζ), in k-bands too; normal pdf (velocity)

$$T_{ab} = \rho_{(c)} U^a U_b + U^a J_{(e)b} + U_b J_{(e)}^a + p_{(c)} (\delta^a_b + U^a U_b) + \Pi^a_b$$

$$\text{og}(a) = 0$$

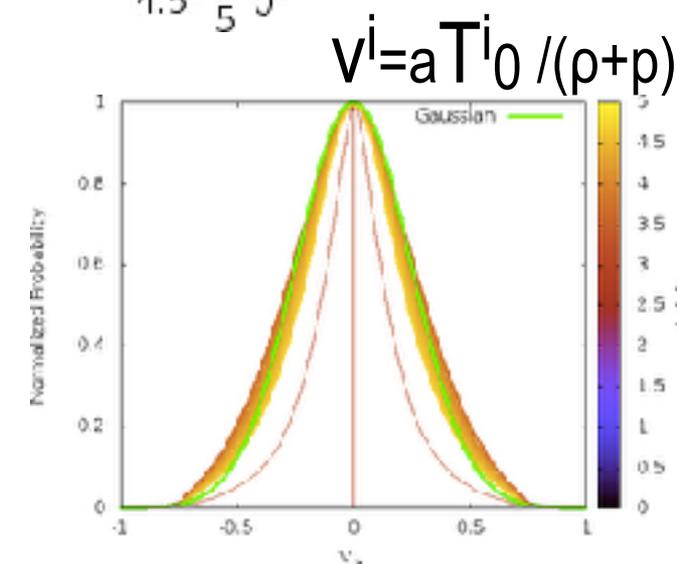
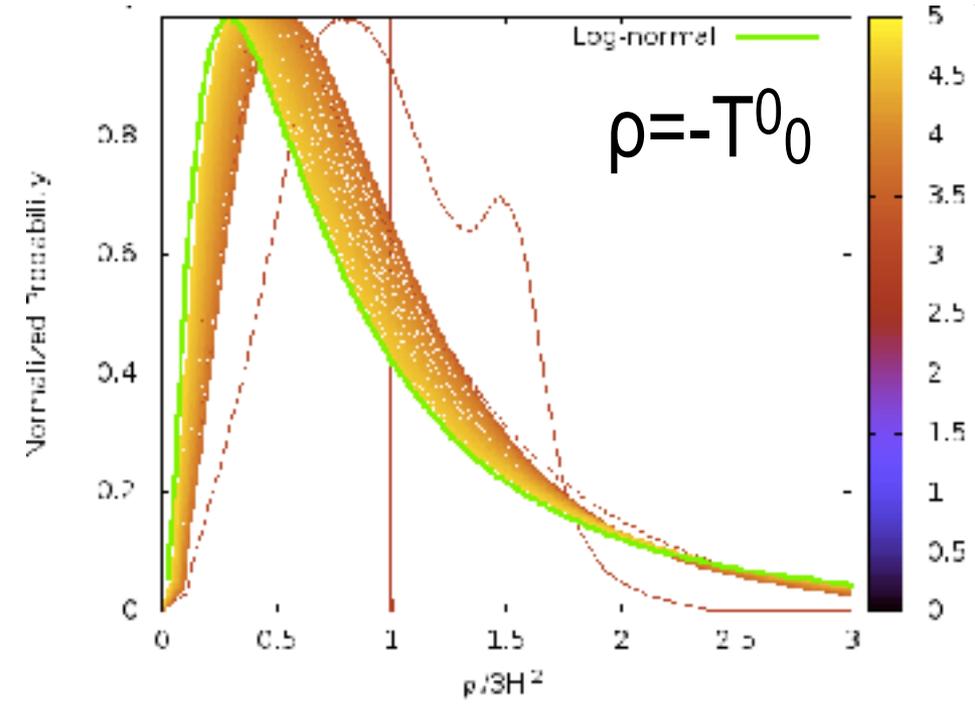
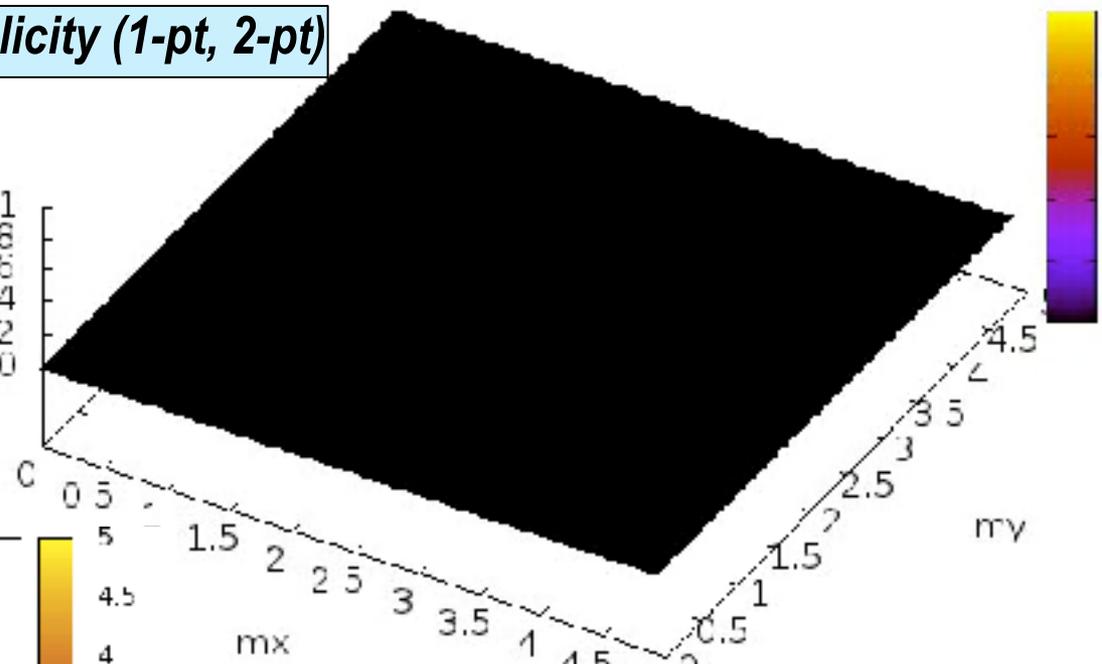
spatial complexity but \exists statistical simplicity (1-pt, 2-pt)

post-shock \Rightarrow total stress-energy T^a_b

hydrodynamics description **phonons**

*entangled primary fields ($\phi, \Pi_\phi, \chi, \Pi_\chi$)
 \Rightarrow particle creation description
 e.g., 100s of phonons at a time*

$$V(\phi, \chi) = 1/4 \lambda \phi^4 + 1/2 g^2 \phi^2 \chi^2$$

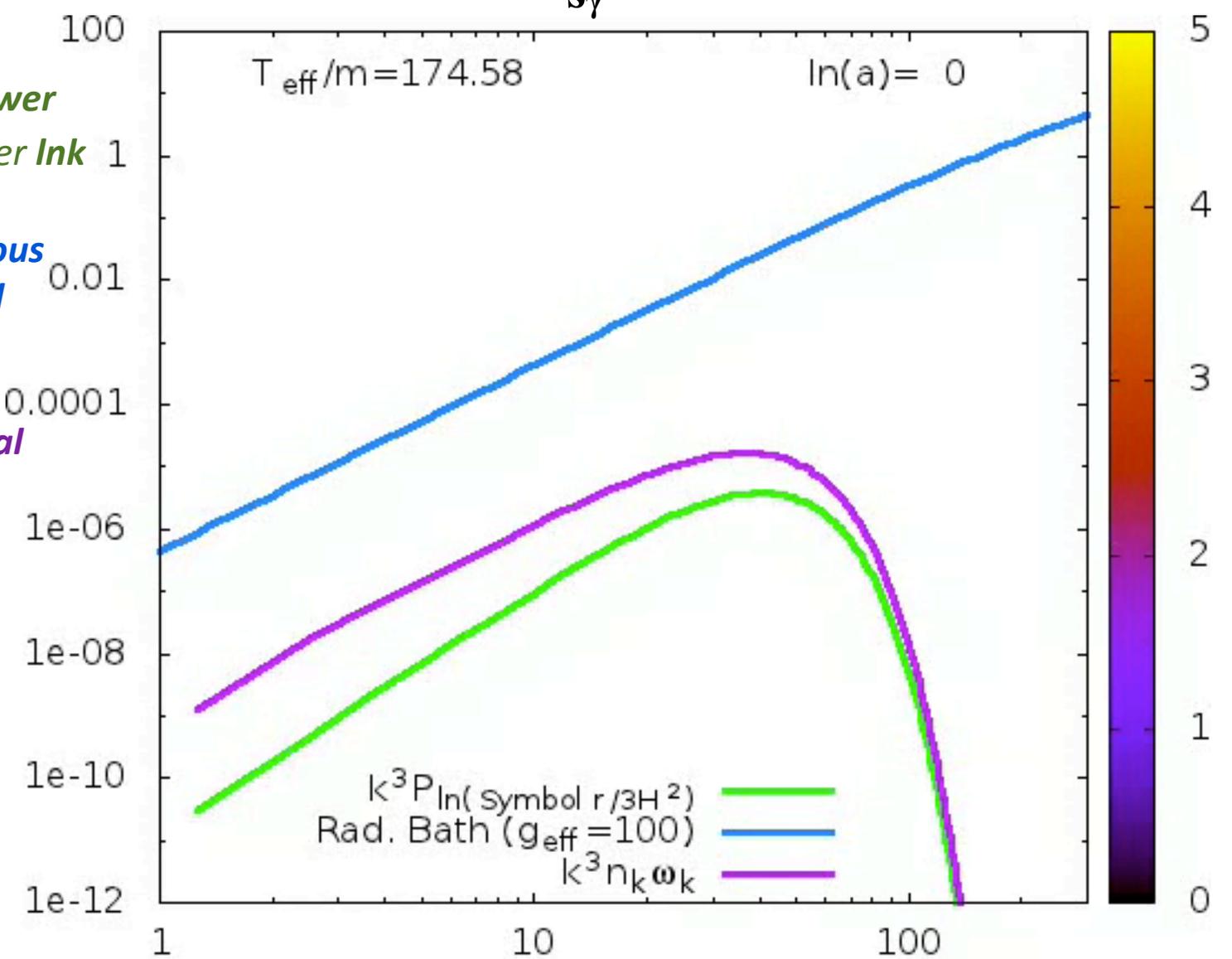


nearly Gaussian in $\ln \rho / \langle \rho \rangle$ & in k -bands! B+Braden+Frolov nearly Gaussian in v

coherent inflaton => incoherent mode cascade of fields thru a shock-in-time to thermal equilibrium

$S_{U_i} \sim 0$; $S_{U_{tot,m+r}}/n_b \sim 1.66 \times 10^{10} \text{ bits/b}$; $s_\gamma/n_\gamma = 5.2 \text{ bits}/\Upsilon = 2130/411$; $s_v = 21/22$

In $p/\langle \rho \rangle$ power spectrum per $\ln k$ cf. instantaneous full thermal spectrum cf. conventional energy spectrum using a pseudo particle occupation number



$V(\phi, \chi) = 1/2 m^2 \phi^2 + 1/2 g^2 \phi^2$

k/m

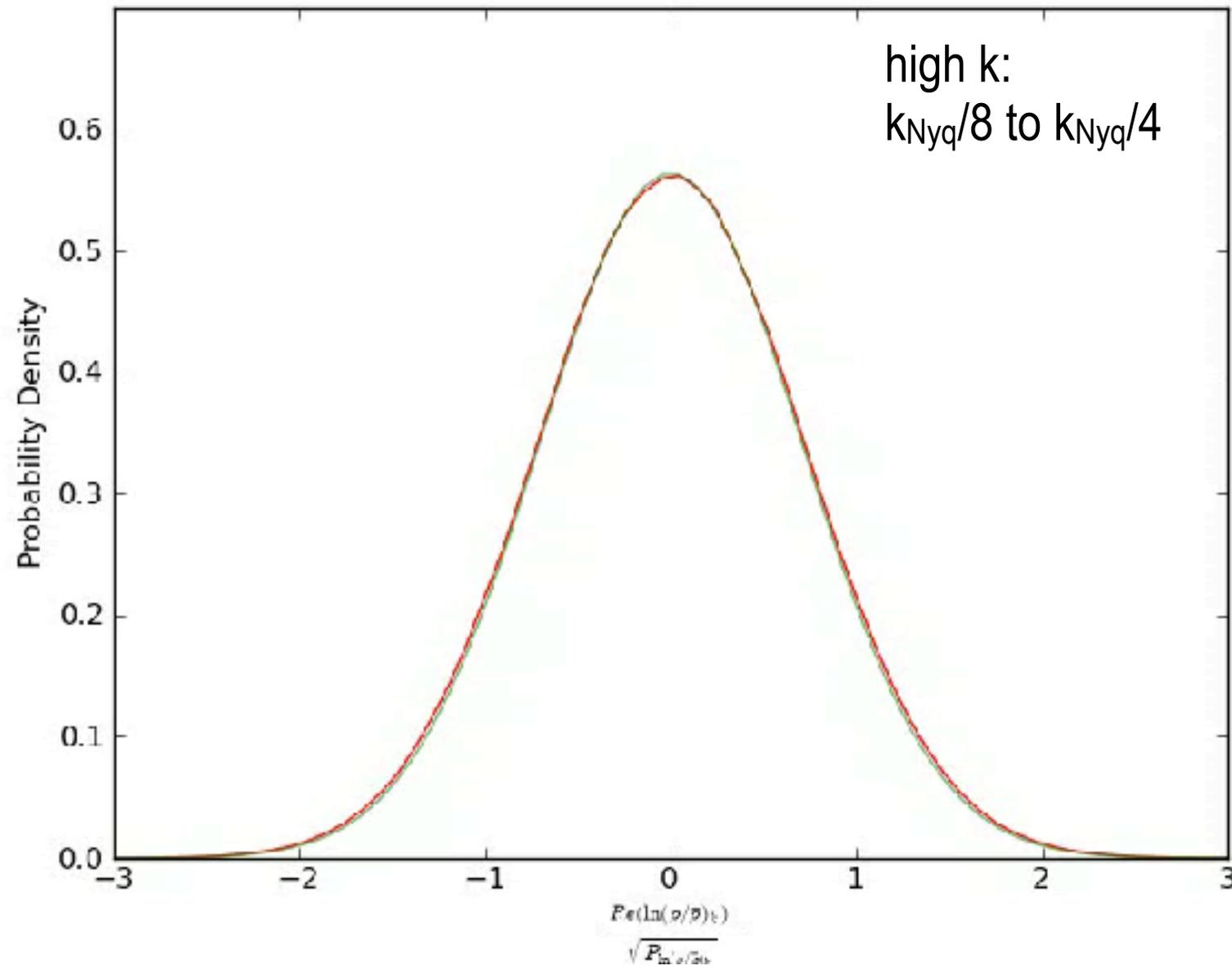
momentum

B+Braden+Frolov

but Statistical Simplicity

box $L=10\text{m}$ and $N=1024^3$

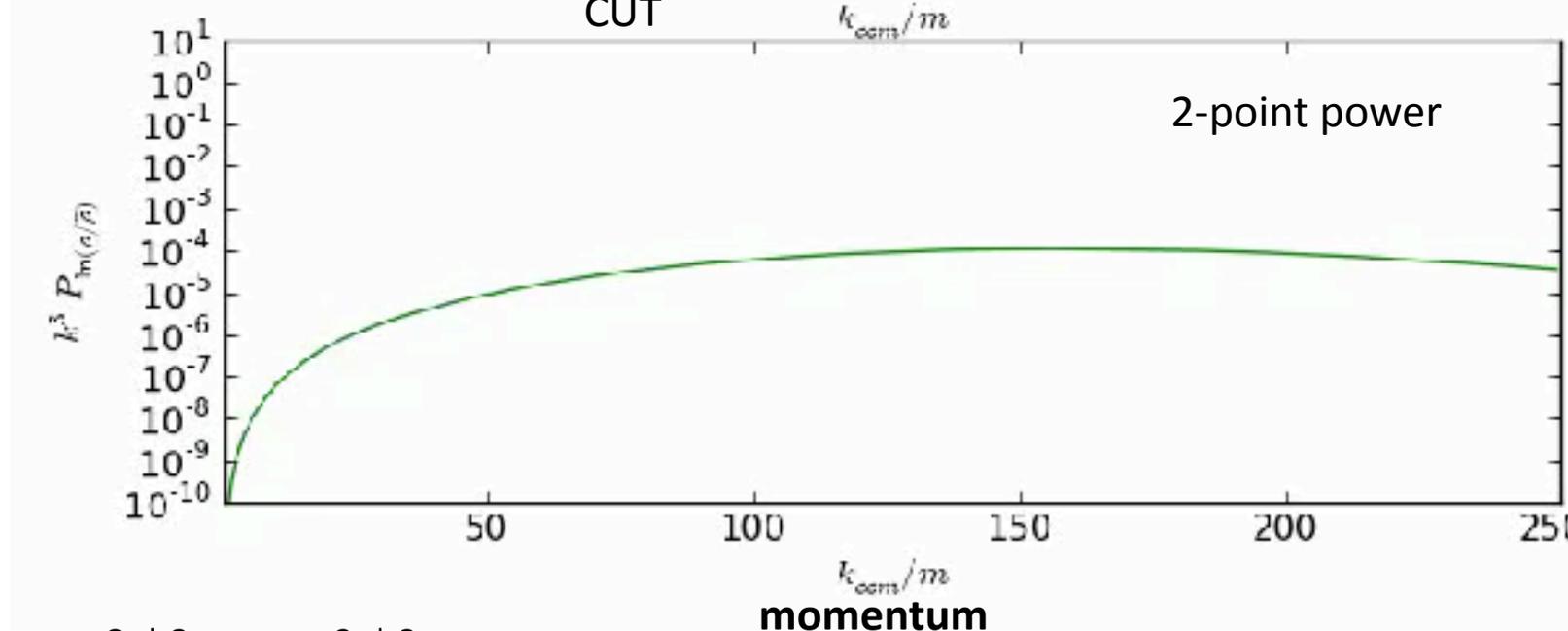
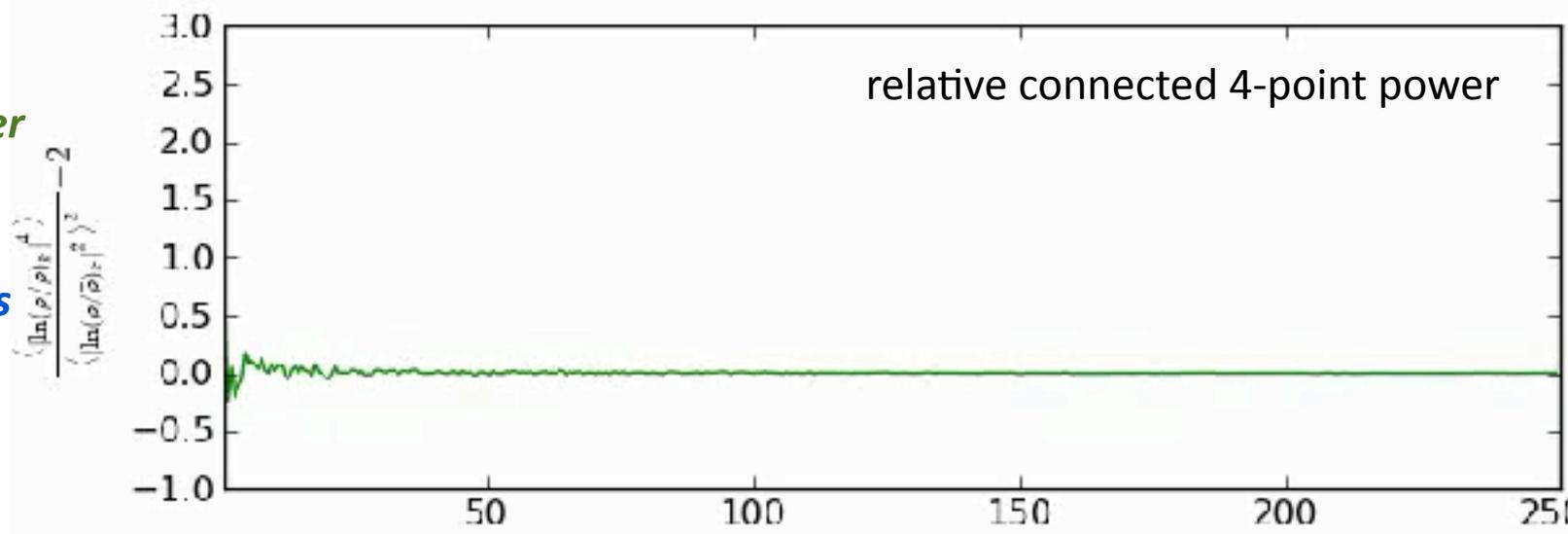
FourierTransform(\ln density) PDF \sim log-normal after initial transient



coherent inflaton => incoherent mode cascade of fields thru a shock-in-time to thermal equilibrium

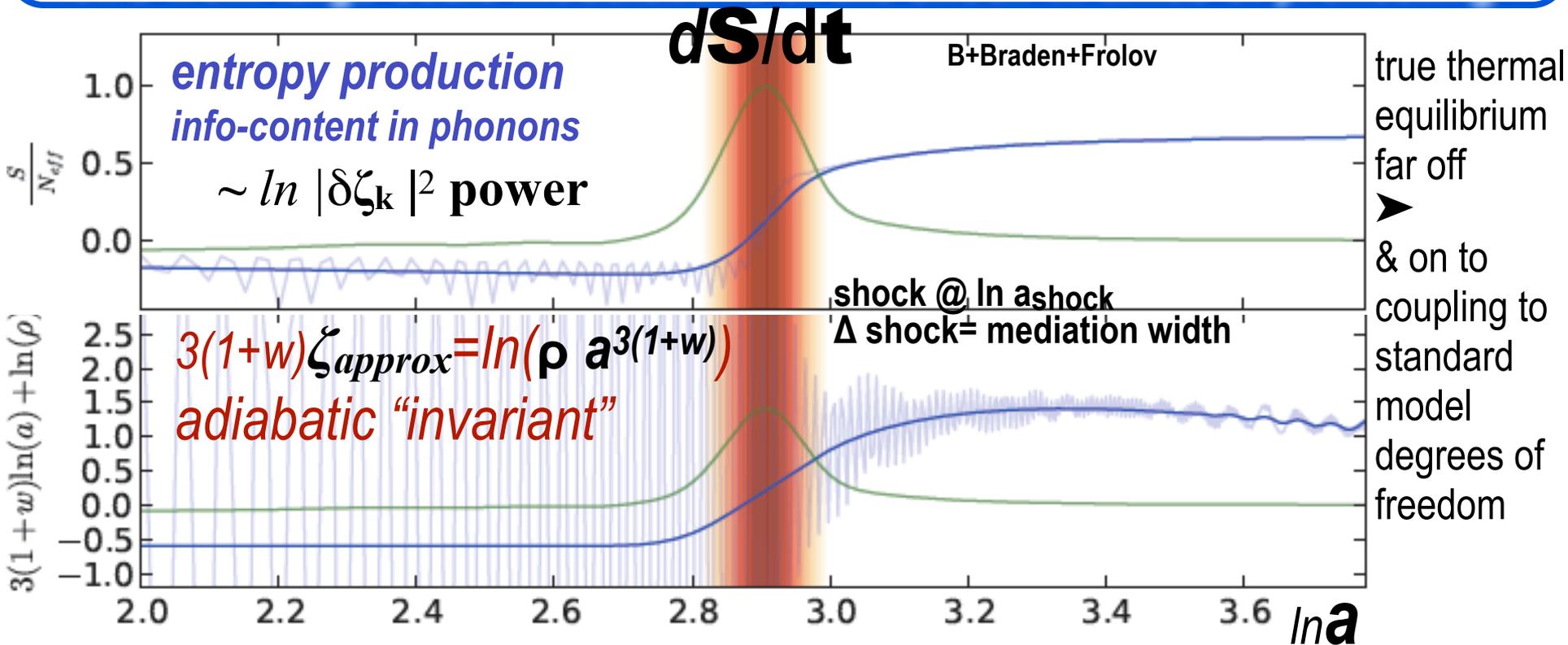
$S_{U_i} \sim 0$; $S_{U_{tot,m+r}} / n_b \sim 1.66 \times 10^{10}$ bits/b; $s_\gamma / n_\gamma = 5.2$ bits/ $\Upsilon = 2130/411$; $s_v = 21/22 s_\gamma$

In $\rho / \langle \rho \rangle$ power spectrum
cf. instantaneous full thermal spectrum
cf. conventional energy spectrum using a pseudo particle occupation number



$$V(\phi, \chi) = 1/2 m^2 \phi^2 + 1/2 g^2 \phi^2$$

nonG from large-scale modulations of the shock-in-times of preheating

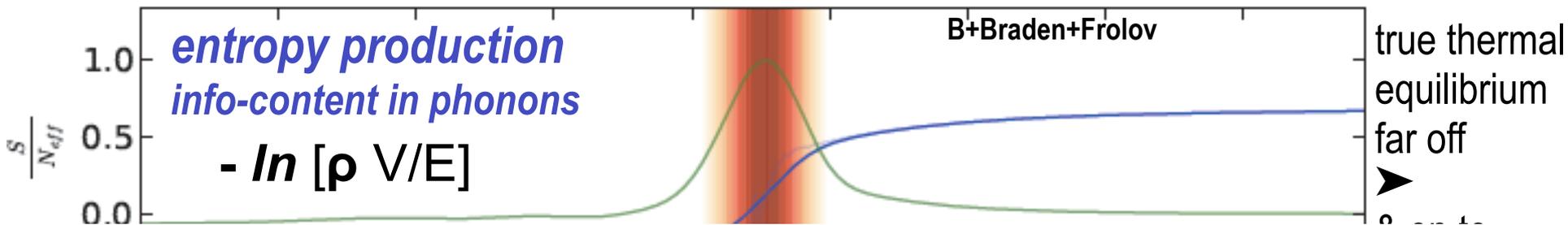


coarse-grain $\langle \zeta \rangle \Leftrightarrow$ fine-grain $\delta\zeta_k$ gradients, δV

$$\zeta_{\text{final}}(\mathbf{x}, t_f | \chi_{\text{cg, eoi}}(\mathbf{x}), g^2/\lambda) \sim \zeta_{\text{shock}}$$

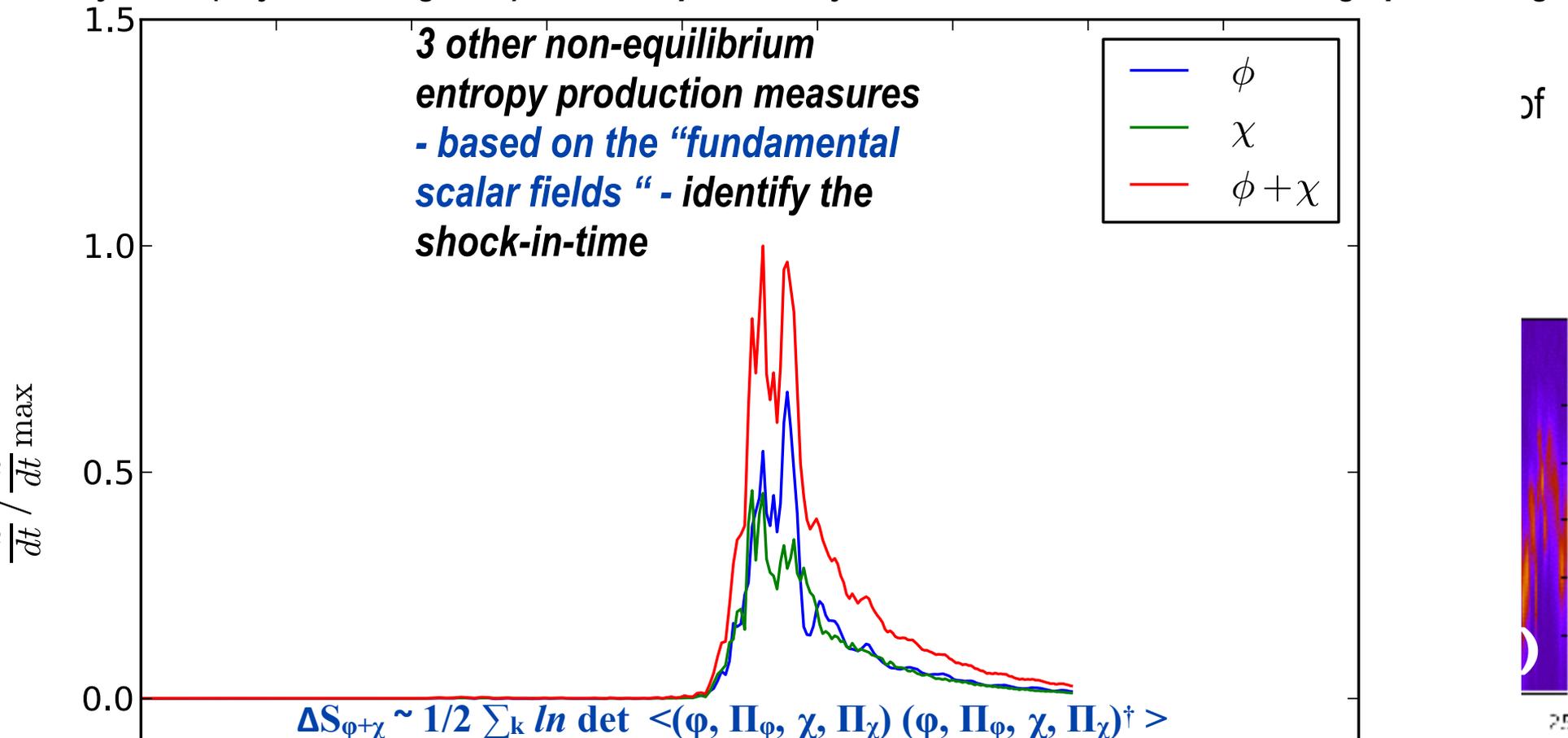
$$V(\phi, \chi) = 1/4 \lambda \phi^4 + 1/2 g^2 \phi^2 \chi^2$$

nonG from large-scale modulations of the shock-in-times of preheating

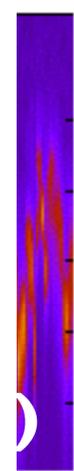


entangled primary fields $(\phi, \Pi_\phi, \chi, \Pi_\chi)$

decay rates (Feynman diagrams) and transport theory difficult to make accurate through preheating to



of



A Shocking End to Post Inflation Mean Field Dynamics

Shock-in-space $t = \text{const}$

$$v_{\text{bulk}}^2 > c_s^2 \Rightarrow v_{\text{bulk}}^2 < c_s^2$$

supersonic \Rightarrow subsonic

Characteristic spatial scale

Jump Conditions: $\Delta T^{\mu\nu}$

Randomizing Shock Front: ΔS

Mediation: width via viscosity or collisionless dynamics

post-shock evolution, slow, of temperature, etc.

coherent condensate breakup?

Shock-in-time $x = \text{const}$ (deviations for nonG)

$$\langle \rho \rangle \gg \delta \rho \Rightarrow \langle \rho \rangle \ll \delta \rho$$

Homogeneous \Rightarrow Fluctuations

Characteristic temporal scale

Jump Conditions: $\Delta T^{\mu 0}$

Randomizing mode cascade & Particle Production: ΔS

Mediation: width via gradients and nonlinearities

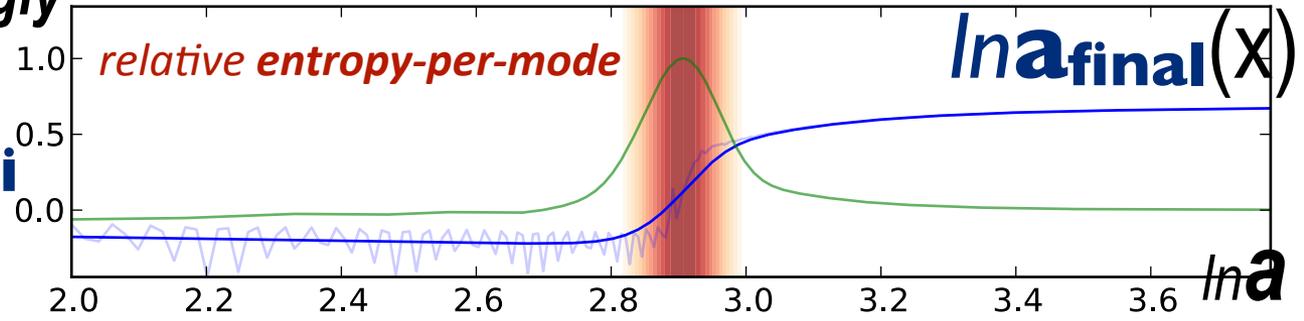
post-shock evolution, slow, of fluctuations
coherent condensate breakup E-phonon soup

- a difference: chaotic lead in to shock

Preheating is a shockingly efficient entropy source

$$\ln \frac{a_{\text{shock}}(x)}{a_{\text{eoi}} \left| \frac{S}{V_{\text{eff}}} \right|}$$

& the mediation width

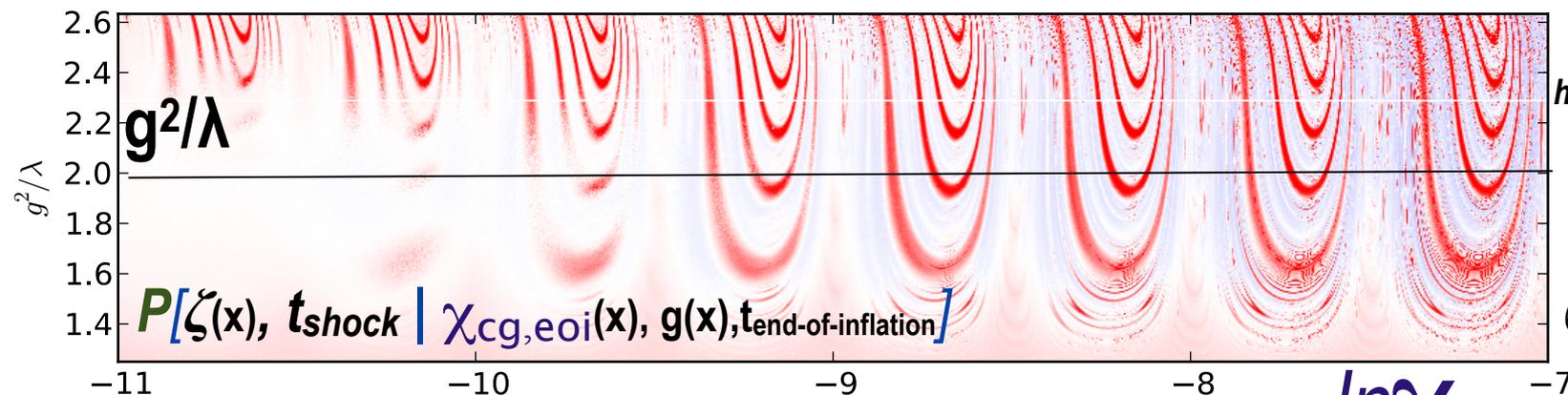
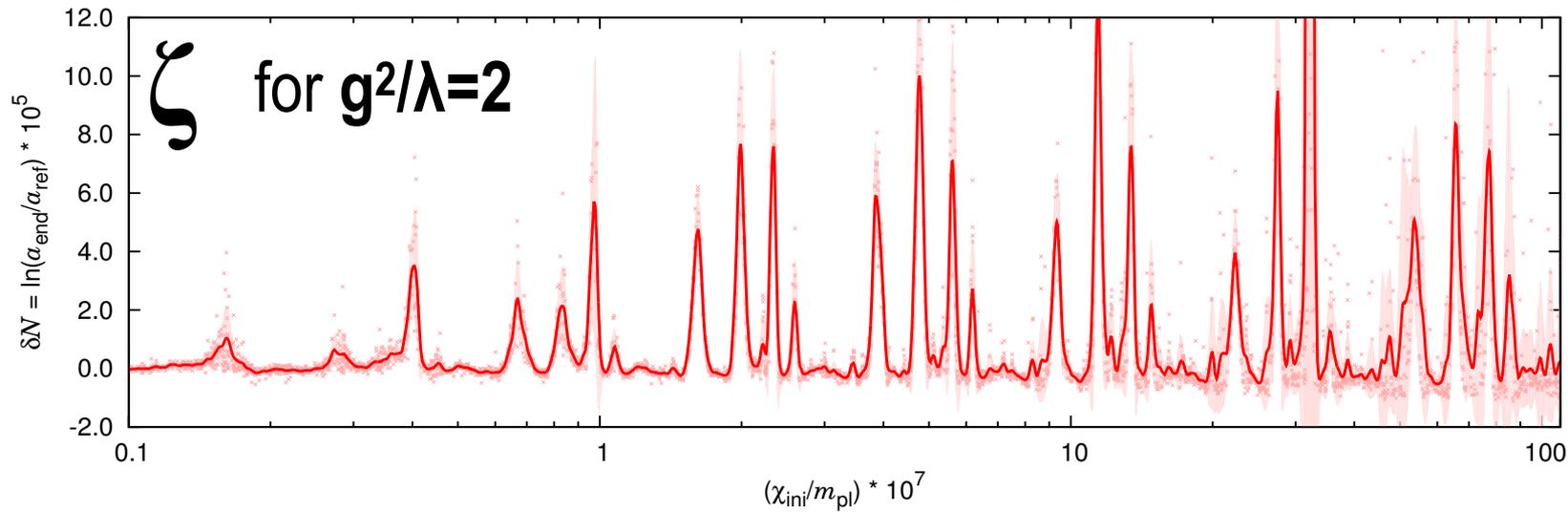


$dS/dt(t, g) \Rightarrow$ the Shock-in-time: entropy production rate

$\zeta_{\text{shock}}(\chi_{\text{cg}, \text{eoi}}(\mathbf{x}) | g^2/\lambda) \Rightarrow$ Chaotic Billiards: NonG from Parametric Resonance in Preheating

B+Frolov, Huang, Kofman 09
 B+Braden, Frolov, Huang 18

$$V(\phi, \chi) = 1/4 \lambda \phi^4 + 1/2 g^2 \phi^2 \chi^2$$



computational tour de force

huge number of 64^3 sims to show the wondrous complexity of $\zeta(\chi_i, g^2/\lambda)$

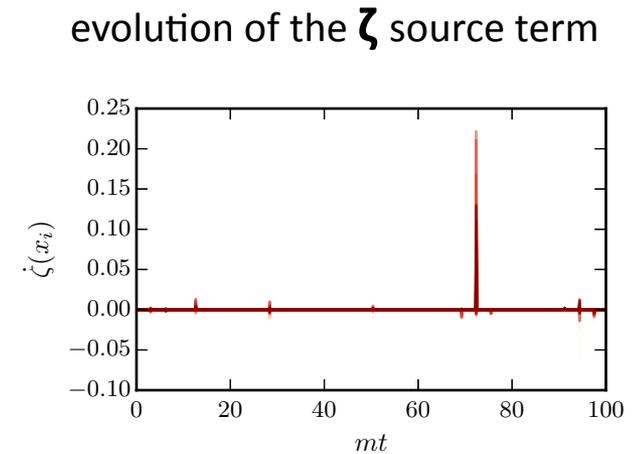
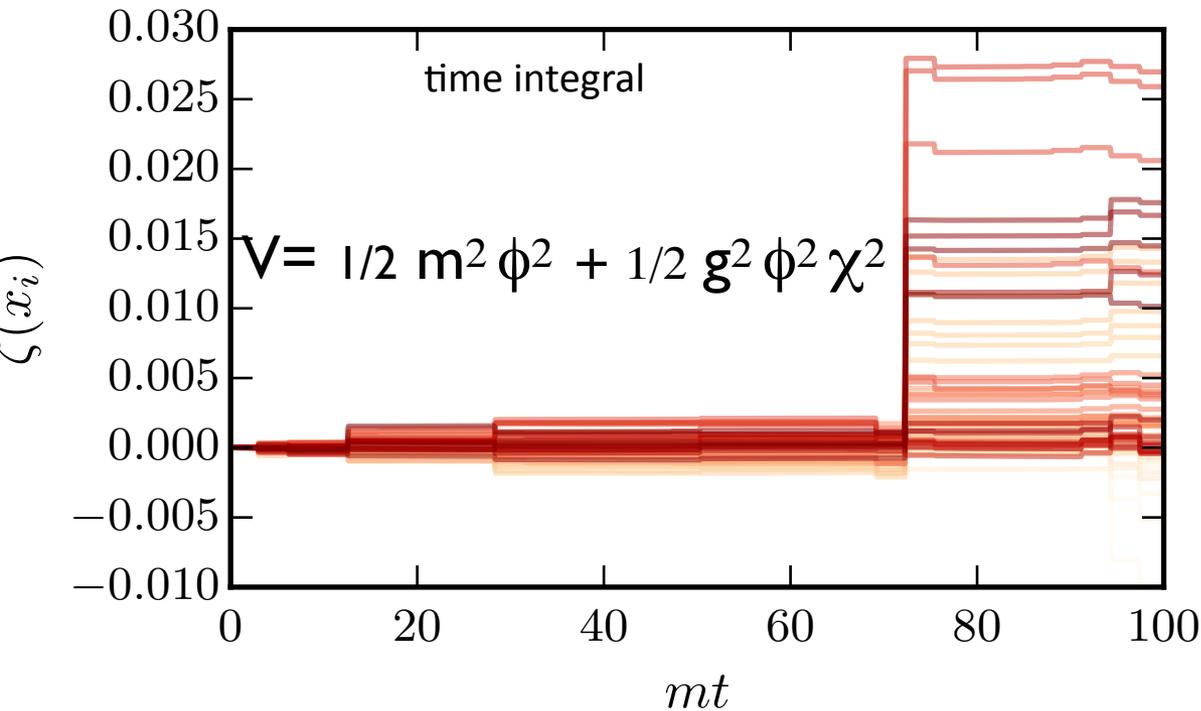
gigafigure of lattice simulations $\ln(\chi_0/\phi_0)/\mu_0 T$

$\ln \chi_{\text{cg}, \text{eoi}}$

ζ conserved along trajectories until the “shock-in-time” when high \mathbf{k} fluctuations (fine-grain) develop from coarse-grain, measure is $S_{\text{Shannon}} = -\ln \mathcal{P}v_g$

but $-\text{Dln } \mathcal{P} / \text{dt} = \text{Trace } d\mathcal{E} / \text{dt}$ does change \sim KS entropy (rate)

stretching of phase strings. begin with anisotropic Gaussian at EoI and watch it stretch, \mathcal{E} grows, rotates, locally OK as distorted ellipsoid, but strain depends upon the central value \Rightarrow phase tubes

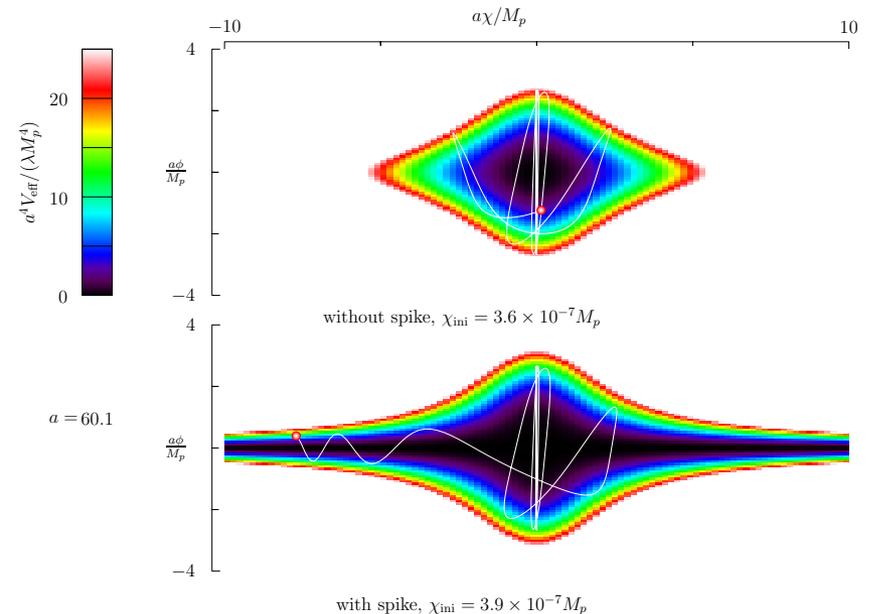


understanding the ζ -spike structure, B^2FH
qualitatively YES quantitatively OK

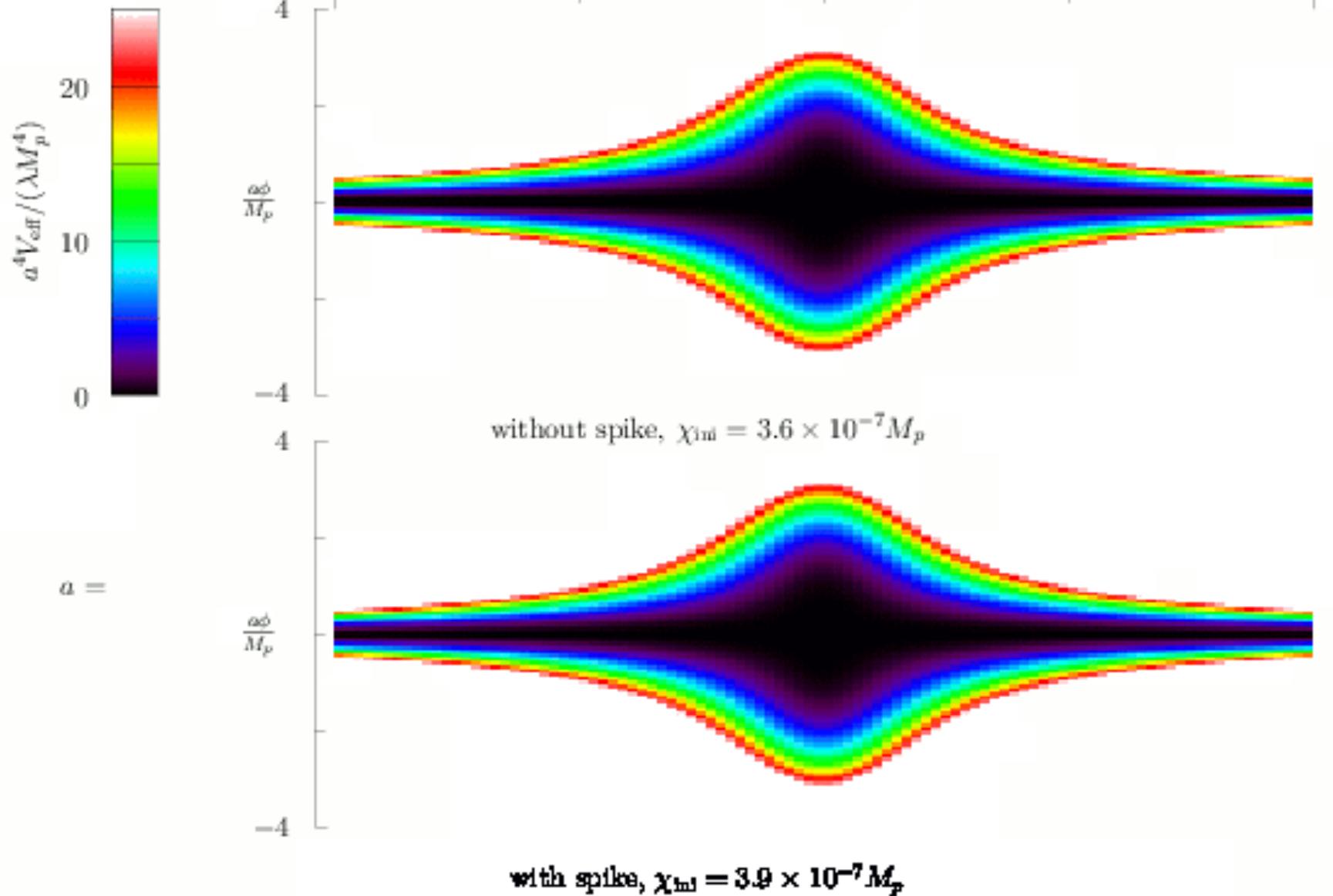
**arresting chaotic orbits
via a shock-in-time,
incoherent cf. coherent
(caustic) trajectory bundles**

incoherent

coherent



full lattice simulations of coarse-grained $k \sim 0$ trajectories ($\chi_{\text{cg,eoi}}$) **ballistics entangle**



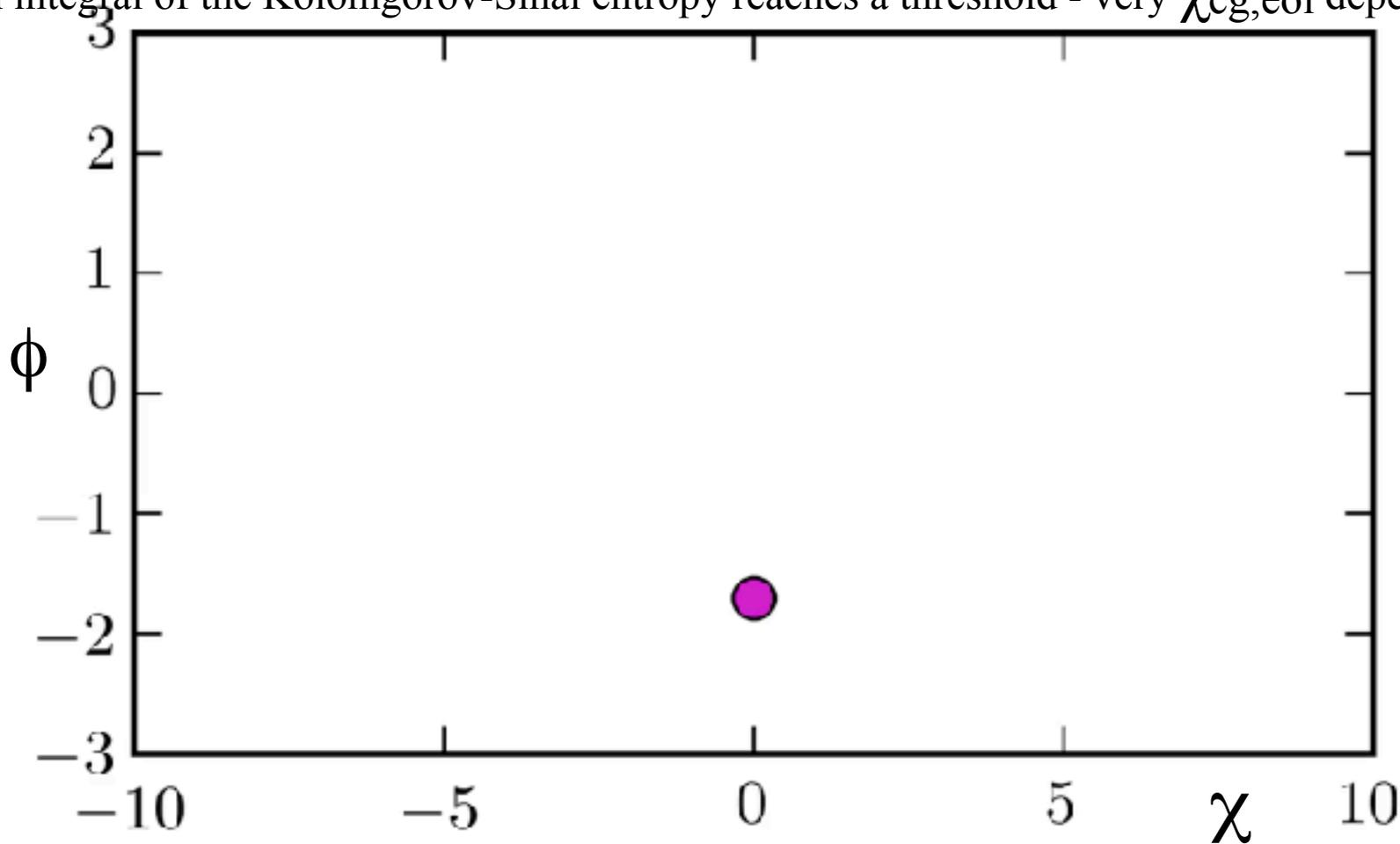
(nonlinear) V_{eff} is trajectory-bundle dependent

$$V(\phi, \chi) = 1/4 \lambda \phi^4 + 1/2 g^2 \phi^2 \chi^2$$

ballistic billiards $k=0$ mode **phase space string** evolution

2D constrained distribution functions

stopping criterion when coarse-grained entropy of field variables rises \Leftrightarrow strain \mathcal{E} high,
ie when integral of the Kolomgorov-Sinai entropy reaches a threshold - very $\chi_{cg, eoi}$ dependent



$$V = 1/4 \lambda \phi^4 + 1/2 g^2 \phi^2 \chi^2$$



caustics in $\langle q^A \rangle$ ballistic orbits

$$\langle \delta q^A X_{t2} \mid \delta q^B X_{ti} \rangle \sim \exp(\mathcal{E}(X_{t2} \mid X_{t1}))^{A_C} \langle \delta q^C X_{t1} \mid \delta q^B X_{ti} \rangle$$

early U parameters: **final** $\varphi, \Pi_\varphi, \chi, \Pi_\chi, \ln a, \ln \rho$, **initial** $\chi_{cg, eoi}$, *couplings* g, λ, \dots

parameter strain tensor in field space $\mathcal{E}^{A_C}(X_{t2} \mid X_{t1})$ deformation $e^\mathcal{E}$

$d\mathcal{E}^{A_C}/dt$ strain rate \sim local Lyapunov coefficients *Floquet instability charts*

instability to have nearby parameters diverge \Rightarrow chaotic billiards

Kolmogorov-Sinai entropy: \sim **Sum of positive values of $d\mathcal{E}/dt$**

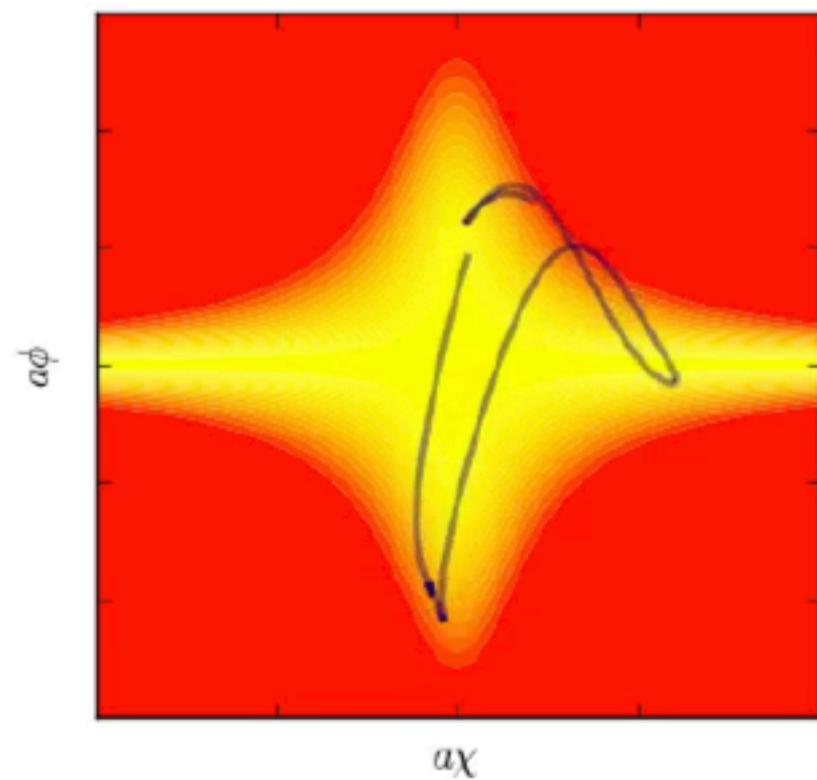
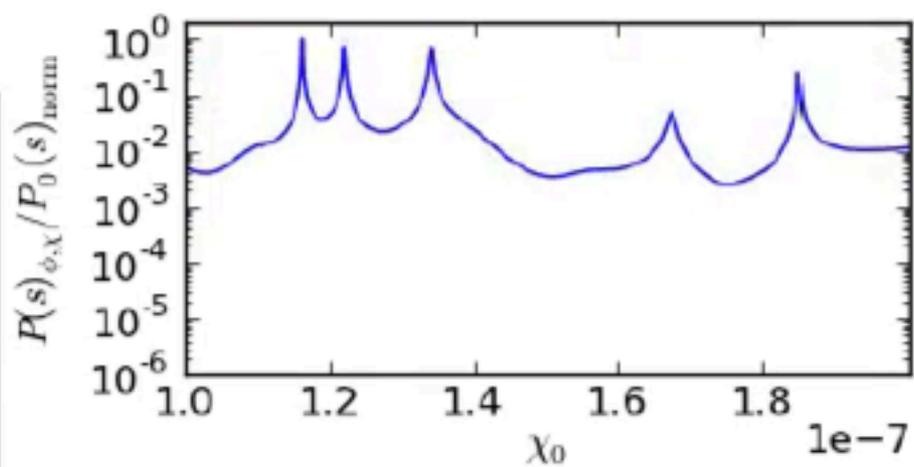
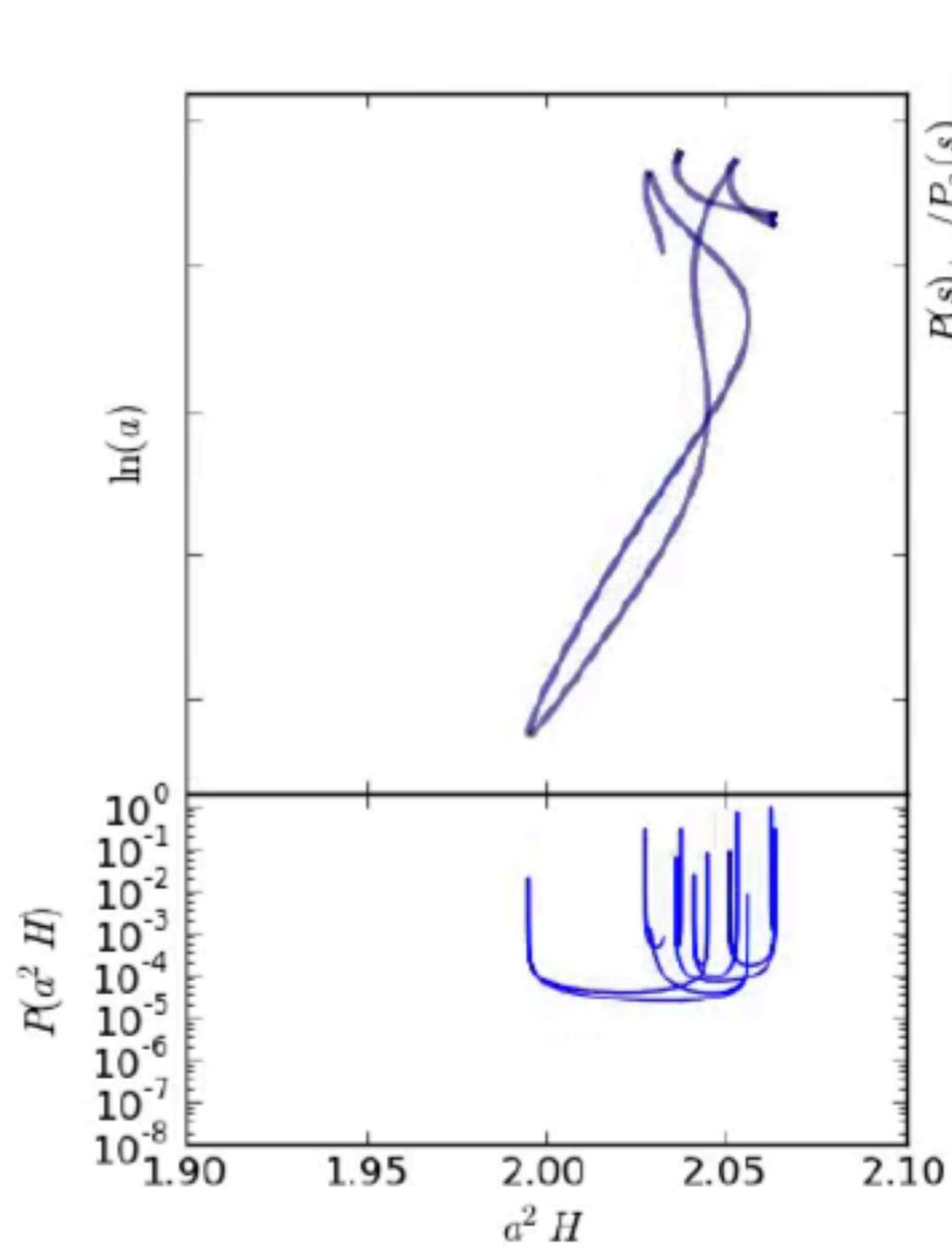
small \mathcal{E}^{A_A} eigenvalues \Rightarrow coherent trajectory bundles (for a time)

= caustics (inverse $\rightarrow \infty$) $1/[\partial \alpha / \partial \chi_{cg, eoi}]$; \Rightarrow peaks in $\zeta(\chi_{cg, eoi})$

stopping time **tstop** ($\chi_{cg, eoi}$) when \mathcal{E}^{A_A} values get large \Leftrightarrow local gradients \uparrow

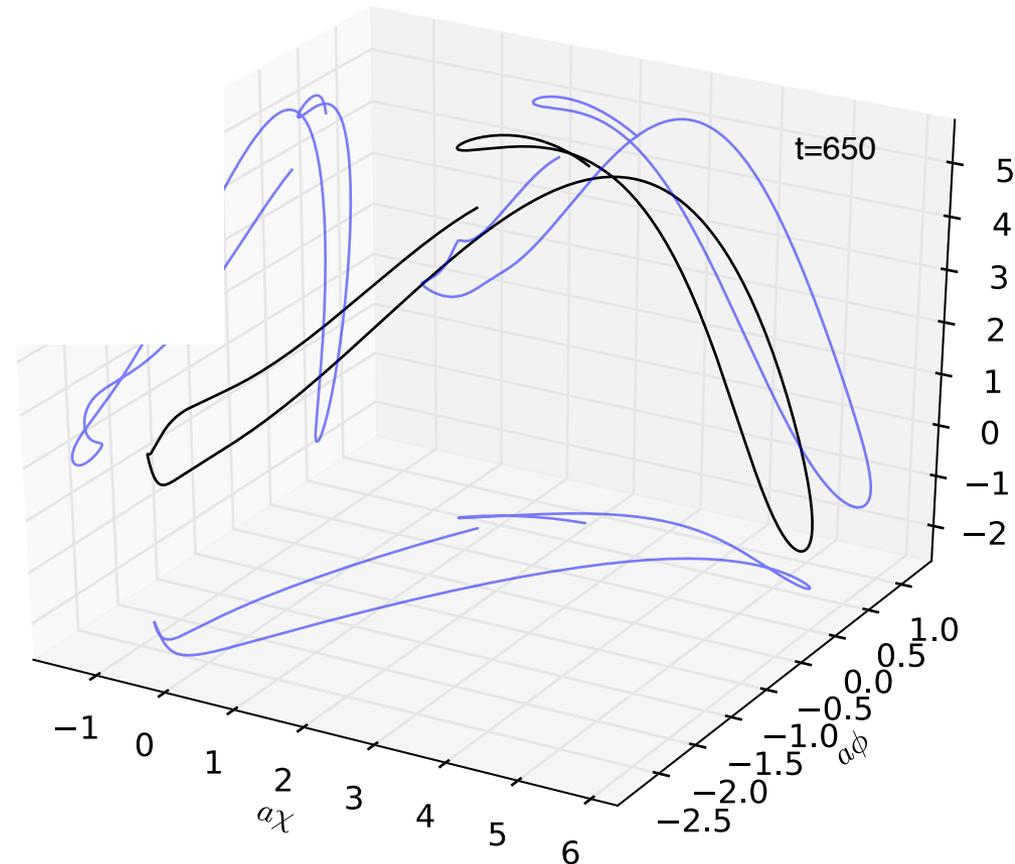
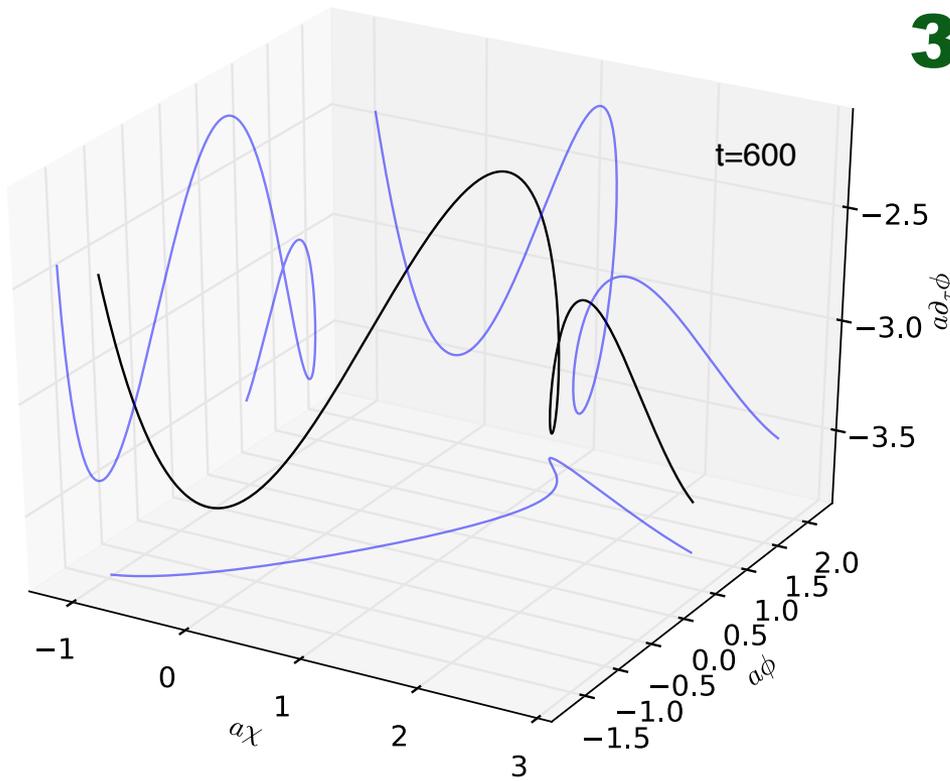
cf. LargeScaleStructure: **final Eulerian position \leq initial Lagrangian position**

1LPT aka Zeldovich: $\partial x / \partial r = \exp(\mathcal{E}) \rightarrow 0$ density $\rho \sim \exp(-\text{Tr}(\mathcal{E})) \rightarrow \infty$



3D phase space strings

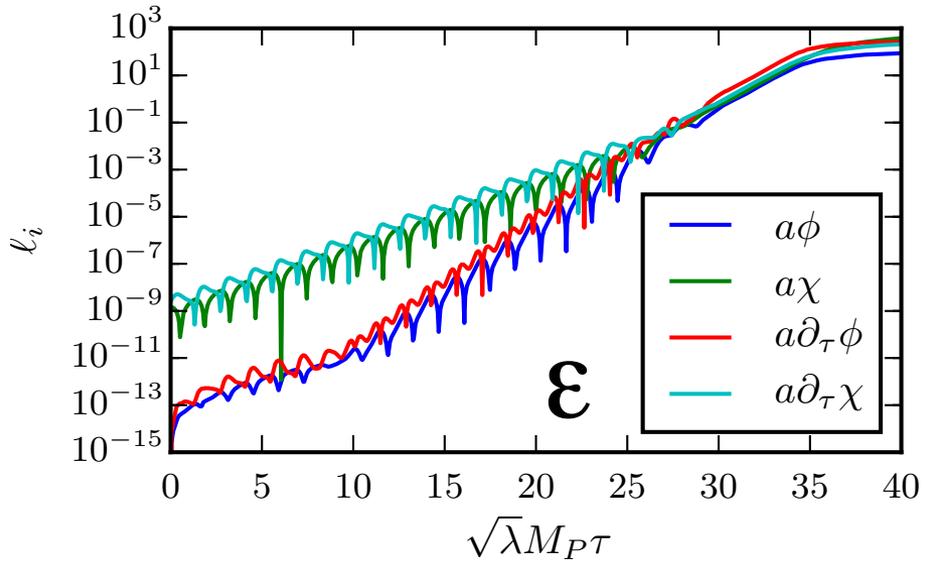
3D constrained distribution functions



phase space strings

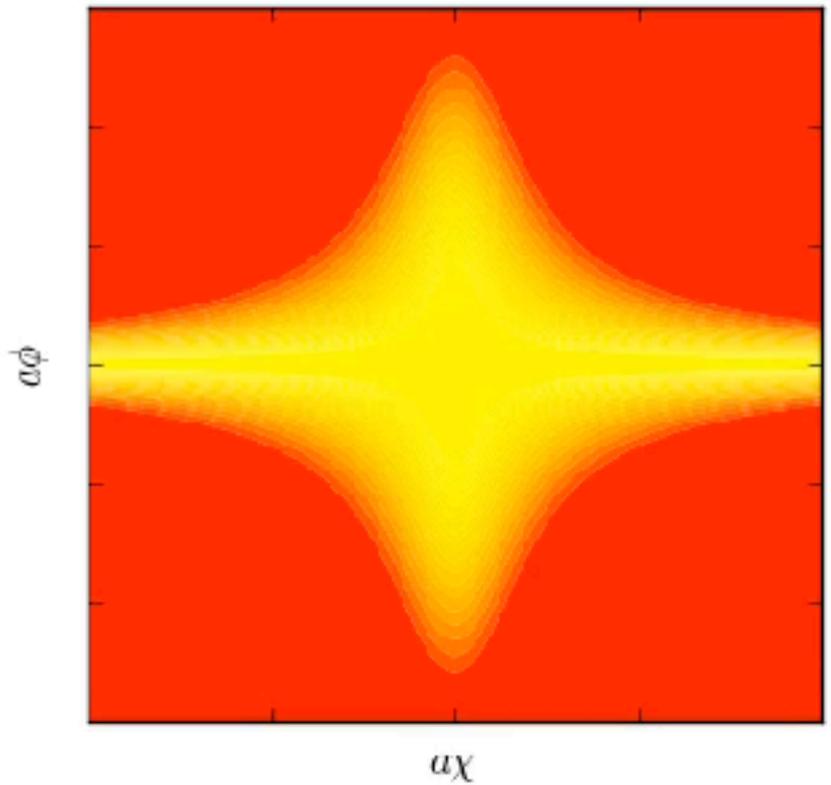
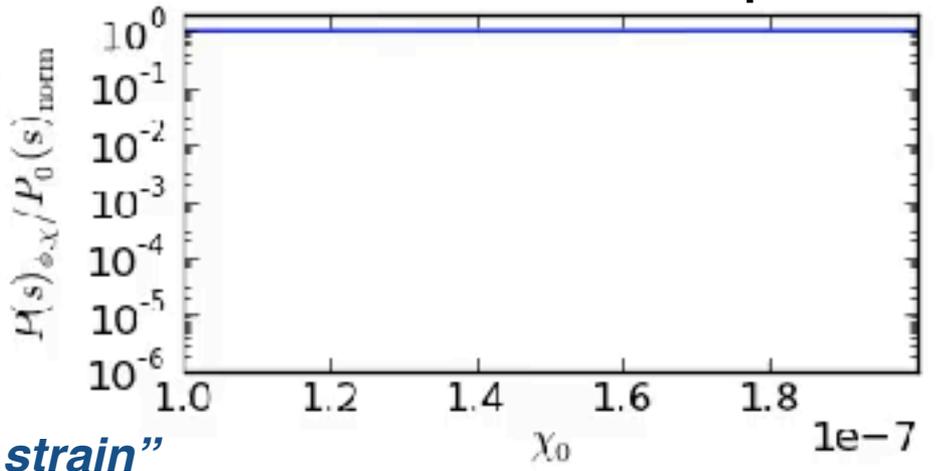
2D constrained distribution functions

*phase string growth in time “parameter strain”
integral of Kolmogorov-Sinai entropy rate*



=> 3D constrained distribution functions

caustics are ubiquitous

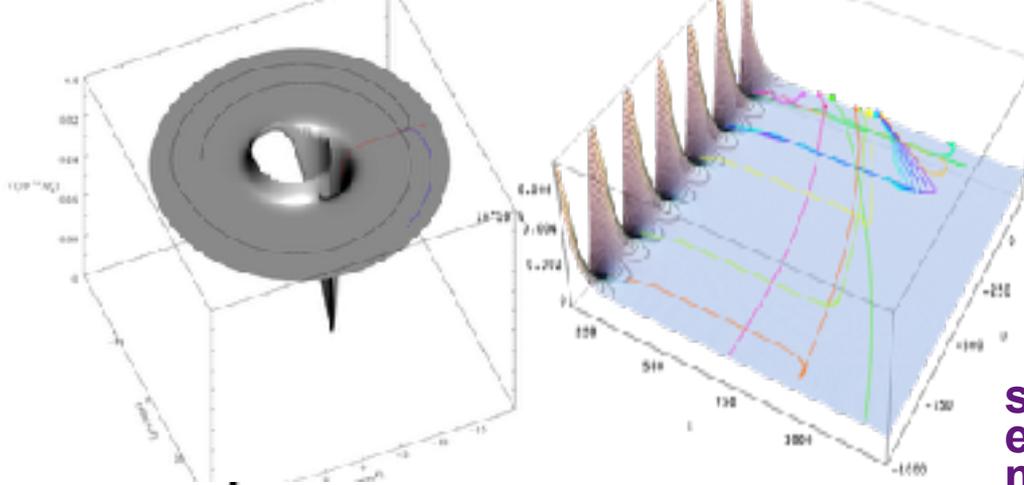


B2FH, b+braden+frolov+huang

single field V heating slow, oscillating
 but shaped V can give rapid heating (roulette)
coarse-grain cm-horizon \Rightarrow
fine-grain fluctuations = S
generation

longitudinal instability
 KS yes *but* no LSS modulation

$$a = 1$$



A visualized 2D slice
 in lattice simulation

Preheating After
 Roulette Inflation

$$\langle \tau \rangle =$$

quantum
 diffusion
 spatial jitter

drift \longleftrightarrow

E_{ol}

let there be
 heat

roulette oscillations
 highly damped
 \Rightarrow no-non-G
 if redirect by $\chi_{cg, eoi}$, g
 \Rightarrow non-G??



\longleftrightarrow *E_{ol} horizon ~ 1 cm comoving*

SEMIFLATION

stochastic inflation *Vilenken, Starobinski Salopek+B 90/91* & extend to inflation - gentle short-stretch chaos & nonG?

Stochastic inflation: insert a moving bipartite uniform k-boundary into the full field equations, cg/fg split at $k_c(t) \sim \ln H a \Rightarrow$ coarse-grain condensate + fine-grain quantum fluctuations

$dq_c^A = V_c^A dT + K^A_{\nu} \sqrt{dT} \eta^{\nu(\text{GRD})}$ via gradient expansion
time $T = \ln H a$ (breaks down at eoi, but best hypersurface for wave fronts)
diffusion tensor $D^{AB} = (K K^\dagger)^{AB} / 2$

+ (linearized) fluctuation equations for q_f^A k-modes. slow X_c possible
(constrained) Fokker-Planck equation for Shannon entropy $s(\mathbf{q}) = -\ln \mathcal{P}(\mathbf{q}) \sqrt{g}$

$\partial s / \partial T + (V_c + V_D)^A \partial s / \partial q^A - \partial (V_c + V_D)^A / \partial q^A = 0$ or $[ds/dT (fg \rightarrow cg)]$
 $\sqrt{g} =$ parameter-volume deformation

KS entropy rate $\sim Ds/dt \sim$ Trace shear (positive eigenvalue sum)

diffusion velocity $V_D^A = D^{AB} \partial s / \partial q^A$ & current $J_D^A = e^{-s} V_D^A$
trajectory divergence via shear = $1/2$ Trace $\ln g = \partial V^A / \partial q^B$

aside: momentum kicked off the attractor is quickly damp down to the attractor \Rightarrow attractor approx $V_c^A \sim \partial S(q_c^A) / \partial q_c^A$ for field momentum

back to preheating:

through eoi \mathbf{D}^{AB} is small, ballistic $d\mathbf{q}_c^A = \mathbf{V}_c^A d\mathbf{T}$ but chaotic if shear eigenvalues are positive (Kolmogorov-Sinai “entropy rate” >0) until nonlinear couplings (shock-in-time)

often t scramble well-separated from t dissipation in the MSS sense

examples: correlated perturbative nonG cf. uncorrelated nonG

subdominant to inflaton zeta fNL spike chaotic billiards

trajectory approach to nonG post-inflation:

$d\langle \zeta | \chi_{\text{eoi}} \rangle = \mathbf{Response}(\chi_{\text{eoi}}) d\chi_{\text{eoi}}$ aka $\mathcal{E}(\zeta | \chi_{\text{eoi}})$ integrates to $\langle \zeta_{\text{NL}} | \chi_{\text{eoi}} \rangle$

general: $\langle \zeta_{\text{NL}} | \chi_{\text{eoi}, \mathbf{g}, \dots} \rangle (\mathbf{x})$ via marginalization over UV (to $k \sim 1 \text{ Mpc}^{-1}$) and constrain in IR $k < H_0$ for LSS/CMB applications
complication/joy: ζ is conserved in the ballistic phase, sudden generation by fluctuation generation. but Trace shear is non-zero, the KS entropy \Rightarrow Shannon entropy story again

tools: fast lattice codes defrost++ and spectral code.

very fast dynamical systems theory calculations of various potentials, with conformal parameters, modified kinetic pieces in Lagrangian

condensate/fluctuation framework, classical-like approach with \hbar + Bogoliubov transformations for fluctuations as condensate evolves \Rightarrow particle creation & fluctuation freeze-out into new condensate

stochastic inflation $|q_c : q_f\rangle$

Langevin network evolution step: $q_c(X, T+dT) = q_c(X, T) V_c dT + \delta q_f$

condensate evolution step $|q_c(T+dT)\rangle = \exp(V_c dT) \exp(\delta q_f) |q_c(T)\rangle$

schematic $\delta q_f(x, T) = \sum_{k\text{-band}} (Q_k^*(x, T) a_k^\dagger - Q_k(x, T) a_k)$

$$V_c dT = V_c(x, T) dT (a_x^\dagger - a_x)$$

annihilation/creation operators in position and momentum $a_x, a_x^\dagger, a_k, a_k^\dagger$

fluctuating part $|q_f\rangle \sim \exp(\sum \delta q_f) |0\rangle$ a coherent state description?

what is the relation to the usual $q_{f,op} = \sum_k (Q_k^*(x, T) a_k^\dagger + Q_k(x, T) a_k)$
operator linear in Bunch Davies vacuum operators a_k, a_k^\dagger (sign difference)

overcomplete basis representation, but conforms to a classical lattice simulation of inflation (no bipartite split).

still stuck with the gradient expansion for $|q_c(T)\rangle$.

& mixed operators $V_c dT$ and $\delta q_f(x, T)$ - can this approach work?

trajectory bundles & particle creation during inflation. **fast instabilities**
eg **transverse + nonlinearity** or else **ζ -conservation** with no generation.
eg in state enters "chaotic unstable V-region" leaves as out state

END

**how generic will caustic
preheating be? structure
around potential minima:
=> 'filamentary' potentials
=> ballistic flow channels**

*multi-filaments may lead to caustics
2 std inflaton, slow heating? roulette V is fast. 3-star
4 case workhorse. the 5-star... 'axionic' angles
works with conformal flattening of $V(\phi_A) \mp$
cf. filaments that join at clusters in the LSS web
gentler potential structure during inflation? role for instabilities*

**how modulated caustics in
preheating could give
observable intermittency**

**via isocon power on large
& super-horizon scales**

=> light particles ($\chi_{eoi}(x)$, couplings $g(x)$, ...)

these isocons are active, NOT spectators

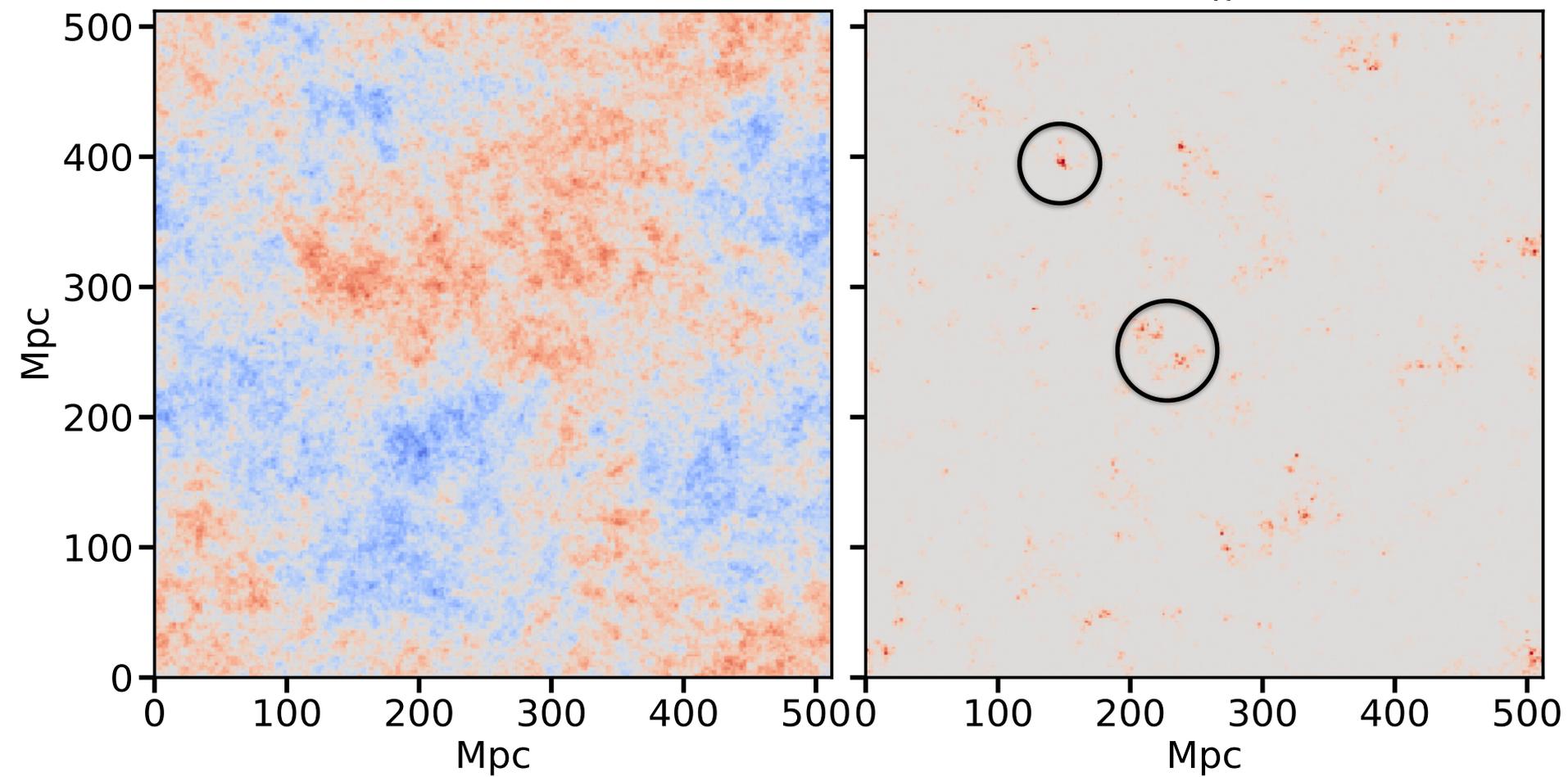
Primordial Non-Gaussianity in the Peak Patch method:

Intermittent Non-Gaussian case

uncorrelated ζ [GRF]

ζ_G

$\zeta_{F(\chi)}$



Primordial Non-Gaussianity in CO

